



3761
Attorney's Docket No. ARC 3043 R1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Trautman, et al)
Serial No: 09/976,798) Group Art Unit: 3761
Filed: 12 Oct 2001) Examiner: unknown
For: APPARATUS AND METHOD FOR)
PIERCING SKIN WITH)
MICROPROTRUSIONS)

Assistant Commissioner for Patents
Washington, D.C. 20231

#5
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants submit herewith patents, publications and other information of which they are aware, which they believe an examiner may consider to be material to the patentability of the claims of this application, and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Supplemental Information Disclosure Statement may contain "material" information pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is either "material" or "prior art" to the invention disclosed and claimed in the above-referenced application unless specifically designated as such. Applicants specifically reserve the right, where appropriate, to avoid or antedate any such reference by the appropriate arguments or showings under 37 C.F.R. § 1.131 and § 1.608, or any other appropriate means.

A completed PTO Form SB/08A listing each reference which is not a U.S. Patent application is submitted herewith, and it respectfully requested that an Examiner initialed copy of the PTO Form SB/08A be returned to the undersigned.

☒ A copy of each reference therein listed accompanies this Supplemental Information Disclosure Statement.

-OR-

☐ NO copies of the references therein listed accompany this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.98(d), as each was previously cited by or submitted to the Patent

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Office in prior application Serial No. _____, filed _____, which this application relies upon for an earlier filing date under 35 U.S.C. § 120.

This Supplemental Information Disclosure Statement is not a representation that a search has been made or that no other information material to the patentability of this invention exists.

☐ This Supplemental Information Disclosure Statement is being filed within three months of the filing or entry of the national stage of this application, or before the mailing of a first Office Action on the merits, whichever is later. No fee is required under 37 C.F.R. § 1.97(b). However, in the event that more than 90 days from filing have elapsed and a first Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge the fee required under 37 C.F.R. 1.17(p) to Deposit Account Number 01-1173.

☒ This Supplemental Information Disclosure Statement is being submitted *after* three months from the date of filing or entry of the national stage of this application, or *after* the mailing of a first Office Action, whichever is later, but *before* the mailing date of either a final Office Action or a Notice of Allowance.

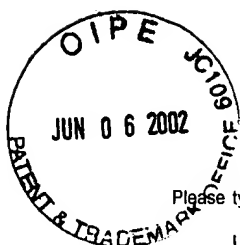
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☒ Certification as specified in 37 C.F.R. § 1.97(e) follows:

☒ Each item of information contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application no more than three months prior to the filing of this statement.

☐ No item of information contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement.



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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/976,798
	Filing Date	October 12, 2001
	First Named Inventor	Joseph C. Trautman
	Group Art Unit	3761
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number TECHNOLOGY CENTER R3710 ARC 3043 R1	

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): Acknowledgement postcard Citations Copy of Search Report
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Owen J. Bates; ALZA Corporation
Signature	Owen J. Bates
Date	24 May 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 24 May 2002			
Typed or printed name	Elizabeth Grannell		
Signature	Elizabeth Grannell	Date	24 May 2002

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The Examiner is hereby requested to consider these references and other information and make them of record in the above-referenced application. Applicants respectfully submit that the claims of this application are patentable over the above-cited references and information. Examination and allowance of this application at an early date are requested.

Respectfully submitted,

Date: 24 May 2002

By: Owen J. Bates
Owen J. Bates, Reg. No. 40,436

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 24 May 2002

ALZA CORPORATION

BY: Elizabeth Grannell
Elizabeth Grannell